

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



INVENTORS:

Jeffrey C. Robison
Stephen R. Chipman
Craig C. Smith

ASSIGNEE:

Caldera Engineering, LC

TITLE:

BANDED VALVE PLUG HEAD

SERIAL NUMBER:

09/253,793

DATE FILED:

February 22, 1999

CONFIRM NO.:

6093

GAU:

3754

ATTORNEY DOCKET:

4164 P

Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

FEE CALCULATION SHEET

Honorable Assistant Commissioner:

The fees for the accompanying CPA Application and Extension of Time within the third month are calculated as follows:

Basic Filing Fee (small entity)	\$355.00
Independent Claims in Excess of Three (0 at 40.00 each)	\$ 0.00
Claims in Excess of Twenty (0 at 9.00 each)	\$ 0.00
Extension of Time (small entity)	\$445.00
<hr/> Total	<hr/> \$800.00

Checks for \$355.00 and \$445.00 are enclosed.

Respectfully submitted this 11th day of August, 2001.

Lloyd W. Sadler, Reg. No. 40,154
PARSONS BEHLE & LATIMER
201 South Main Street, Suite 1800
Salt Lake City, Utah 84111
Telephone: (801) 532-1234
Facsimile: (801) 536-6111

RECEIVED
AUG 16 2001
TC 3700 MAIL ROOM

08-13-01

CPA/37548

CONTINUED PROSECUTION APPLICATION (CPA) **REQUEST TRANSMITTAL (Small Entity)**

AUG 11 2001

Submit an original, and a duplicate for reference.

(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

Docket No.

4164 P

☐ **DUPLICATE** (Check box if applicable)

First Named Inventor

Examiner

Group/Art Unit

Robison

Keasel, E.

3754

Address to:

Assistant Commissioner for Patents

Box CPA

Washington, D.C. 20231

This is a request for filing a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/253,793 filed on 02/22/99

and entitled:

BANDED VALVE PLUG HEAD

1. ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
- a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

- b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

4. ☐ A new power of attorney or authorization of agent is enclosed.

5. ☒ Small Entity Status:

- a. ☐ A small entity statement is enclosed.
- b. ☒ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.

6. ☒ The fee for this application is calculated as follows:

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	7	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$40.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
08/14/2001 GTEFFERA 00000091 09253793					BASIC FEE
01 FC:231					TOTAL FILING FEE
					\$355.00

RECEIVED
AUG 16 2001
TC 3700 MAIL ROOM

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Small Entity)
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

7. ☐ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No.

- ☐ fees required under 37 C.F.R. 1.16.
- ☐ fees required under 37 C.F.R. 1.17.
- ☐ fees required under 37 C.F.R. 1.18.

8. ☒ A check in the amount of \$355.00 is enclosed.

9. ☐ An Information Disclosure Statement (IDS) is enclosed:

- a. ☐ PTO-1449
- b. ☐ Copies of IDS Citations

10. ☒ Also enclosed:

*A Preliminary Amendment
An Attorney Change of Address Form*

11. ☒ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

**Lloyd W. Sadler
PARSONS BEHLE & LATIMER
201 South Main Street, Suite 1800
Salt Lake City, Utah 84111**

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Small Entity)
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

NOTES

Submit an original, and a duplicate for fee processing.

FILING QUALIFICATIONS: The prior application must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

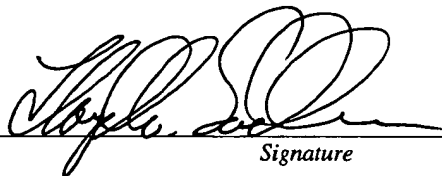
C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated: 11 August 2007


Signature

Lloyd W. Sadler

Typed or printed name

40,154

Registration Number (if applicable)

- ☐ Inventor(s)
☐ Assignee of complete interest
☒ Attorney or agent of record

cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTORS:

Jeffrey C. Robison
Stephen R. Chipman
Craig C. Smith

ASSIGNEE:

Caldera Engineering, LC

TITLE:

BANDED VALVE PLUG HEAD

SERIAL NUMBER:

09/253,793

DATE FILED:

February 22, 1999

CONFIRM NO.:

6093

GAU:

3754

ATTORNEY DOCKET:

4164 P

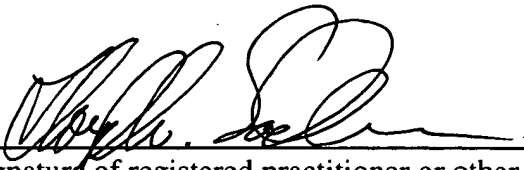
Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

RECEIVED
AUG 16 2001
TC 3700 MAIL ROOM

CERTIFICATE OF MAILING

Honorable Assistant Commissioner:

I hereby certify that the attached paper of fee (Continued Prosecution Application and Fee, Petition for Extension of Time and Fee, and Preliminary Amendment) is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee," Express Mail Mailing Label Number ET526090510US, (under 37 C.F.R. § 1.10) on this 11th day of August, 2001 with sufficient postage and in an envelope addressed to the Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.



Signature of registered practitioner or other person
having a reasonable basis to expect mailing to occur
on the date of deposit shown pursuant to 37 C.F.R.
§ 1.8(a) or person actually making deposit pursuant
to 37 C.F.R. § 1.10(b).

Lloyd W. Sadler, Reg. No. 40,154

Name of person whose signature is given above

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



INVENTORS:

Jeffrey C. Robison
Stephen R. Chipman
Craig C. Smith

ASSIGNEE:

Caldera Engineering, LC

TITLE:

BANDED VALVE PLUG HEAD

SERIAL NUMBER:

09/253,793

DATE FILED:

February 22, 1999

CONFIRM NO.:

6093

GAU:

3754

ATTORNEY DOCKET:

4164 P

Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

RECEIVED
AUG 16 2001
TC 3700 MAIL ROOM

COVER LETTER

Honorable Assistant Commissioner:

Enclosed herewith please find the following documents comprising: (1) a Continued Prosecution Application (CPA) and fee; (2) a duplicate Continued Prosecution Application (CPA); (3) a Petition for Extension of Time and fee; (4) a Preliminary Amendment; (5) an Attorney Change of Address form; and (6) a return receipt postcard.

Respectfully submitted this 11th day of August, 2001.

Lloyd W. Sadler, Reg. No. 40,154
PARSONS BEHLE & LATIMER
201 South Main Street, Suite 1800
Salt Lake City, Utah 84111
Telephone: (801) 532-1234
Facsimile: (801) 536-6111